The Certificate IV is perfect if you are new to the industry, or if you are seeking a change of direction in your career, as it provides partial RG146 compliance and credit towards the Diploma of Financial Planning. The Certificate IV is designed for anyone seeking an initial support role as a Client Services Officer, Paraplanner, or Advice Assistant and can be used as the pathway to become a Financial Planner/Adviser.

What you will learn

• Establish client relationships and analyse needs
• Develop, present and negotiate client solutions
• Extract & analyse information on specified financial strategies and products
• Conduct complex financial planning research
• Prepare & implement financial plans to set strategies and guidelines

RG146 Compliance & Exemptions

The Certificate IV provides EXEMPTIONS towards the Diploma of Financial Planning and offers RG146 compliance in the areas of Generic Knowledge, Financial Planning and Insurance.

Australia’s leading RG146 training academy

Integrity Education is one of Australia’s leading RG146 training providers for individuals, businesses, and Corporate clients. Our team of experienced industry practitioners have a proven track record of success in designing and delivering Government funded, nationally recognised qualifications.

Government funding available for eligible individuals

Funding guidelines mean that people of any age can now access a Government funded* training place in qualifications at a higher level than they have already completed. Please contact us on 1300 400 346 to find out if you are eligible.

Delivery mode & duration

Online, Distance or Workshop: Approximately 6–12 months depending on student requirements, levels of experience and competence.

Workshops: Midweek & Saturday options available.

Assessment

• Written assessment tasks (multiple choice & short answer questions)
• Case studies
• Workplace simulation/role plays

All assessments are completed on an ongoing basis over the duration of the course.

Entry requirements

There are no entry requirements for this qualification. However, it is recommended that participants have ACSF level 4 language, literacy and numeracy (LLN) skills to undertake this course. This will be reflected in the LLN Assessment they complete with their application form.

For fee information, please visit www.integrity.edu.au.

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Government funding available*
Units of competency

The FNS41811 Certificate IV in Financial Services (Paraplanner & Practice Support) includes 1 core unit and 12 elective units (the 13 units of competency are designed to meet ASIC registration for RG146 compliance in generic knowledge, financial planning, Adviser skills, and life insurance). The Certificate IV provides EXEMPTIONS towards the Diploma of Financial Planning equivalent to DFP 1 & DFP 2.

CORE UNITS

FNSINC401A
Apply principles of professional practice to work in the financial services industry

ELECTIVE UNITS

FFNSASIC301C Establish client relationship and analyse needs
FNSASIC302C Develop, present and negotiate client solutions
FNSFMK505A Comply with Financial Services Legislation & Industry Codes of Practice
FNSCUS505A Determine client requirements and expectations
FNSCUS506A Record and implement client instructions
FNSIAD501A Provide appropriate services, advice and products to clients
FNSINC501A Conduct product research to support recommendations
FNSILF403A Develop and maintain in-depth knowledge of products and services used by the Life Insurance sector
FNSFPL402A Prepare financial plans to set strategies and guidelines
FNSFPL403A Implement financial plans to predetermined guidelines
FNSFPL401A Extract and analyse information on specified financial strategies and products
FNSFPL508A Conduct Complex Financial Planning Research (*Para-planners MUST complete this unit)

Credit Transfer and RPL offered: Recognition of prior learning and skills assessment.

Pathways & DUAL Qualifications Program

This qualification is intended to provide a flexible general purpose pathway in to the financial services industry. It is intended to meet the training needs of employees seeking roles that involve working across a range of duties in a financial planning practice where a specialist qualification is not necessarily required to perform their duties. Importantly, the Certificate IV in Financial Services (Paraplanner & Practice Support) provides RG146 CREDIT towards the Diploma of Financial Planning which means you can receive TWO Qualifications for the price of one and boost your earnings potential when you enroll in our DUAL Qualification Program*. Call us on 1300 400 346 to find out more.

Corporate offers

Please contact our office on 1300 400 346 or info@integrity.edu.au to discuss preferential arrangements for candidates referred from financial institutions which include, but is not limited to, AMP FP / Horizons Academy, BT, Macquarie, SuperPartners, ANZ, CBA, Westpac, and NAB.